

# 054410 Plant Design

## LECTURE 7: HEAT EXCHANGER DESIGN

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Ref: Seider, Seader and Lewin (2004), Chapter 13

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Heat Exchanger Design

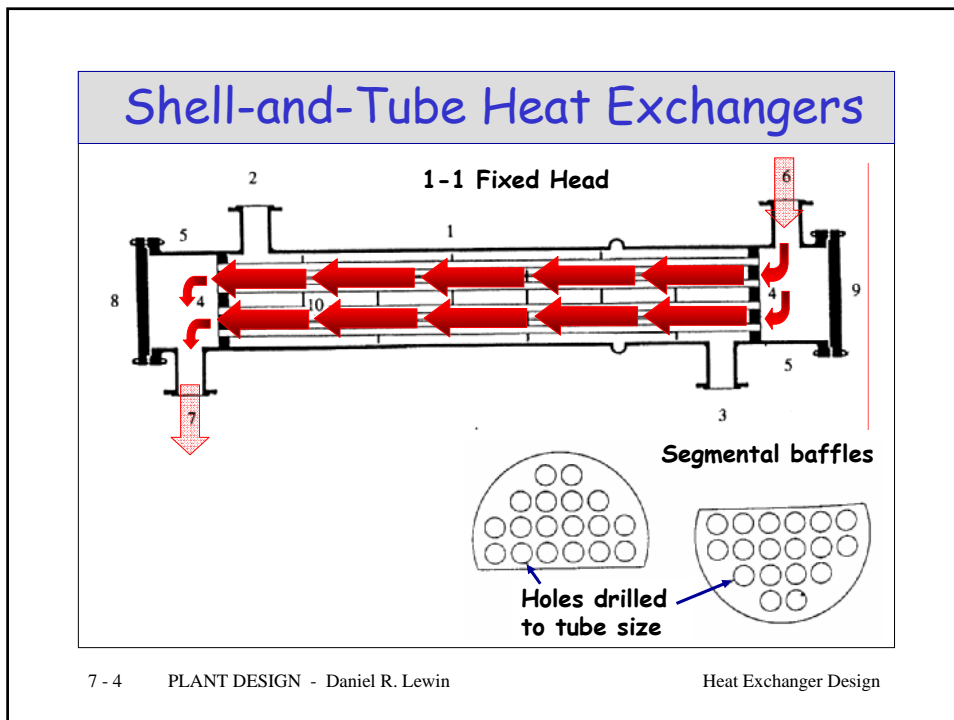
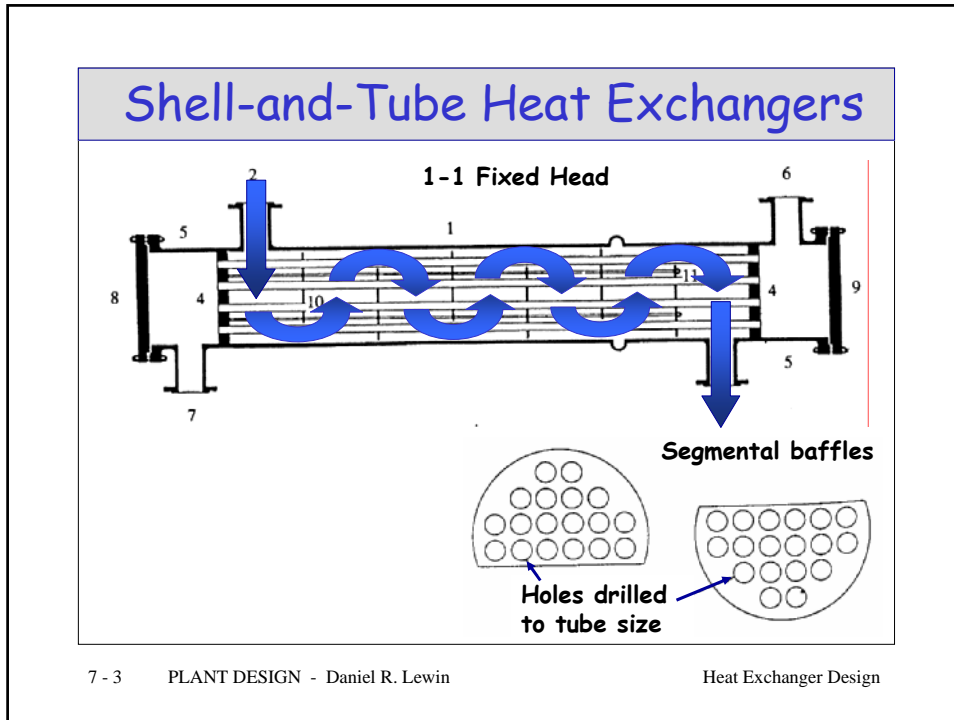
## Lecture Objectives

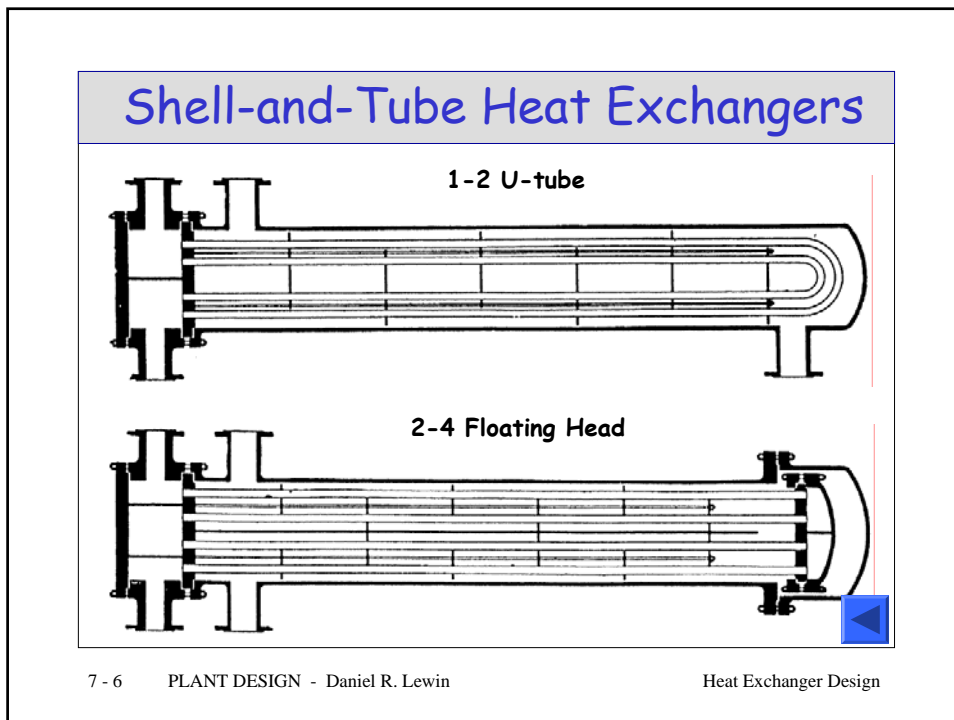
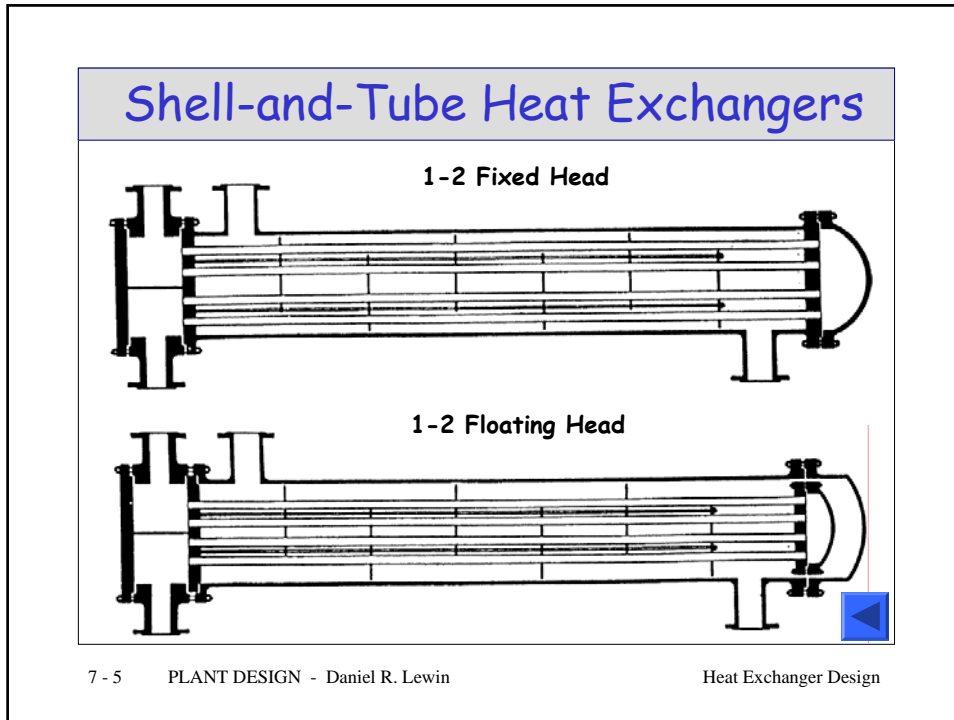
After this lecture, you should:

- ① Be familiar with the major types of available heat-exchange equipment, with particular emphasis on shell-and-tube heat exchangers.
- ② Know how to estimate overall heat transfer coefficients for a shell-and-tube heat exchanger.
- ③ Know how to compute pressure drops on both sides of a shell-and-tube heat exchanger.
- ④ Be able to perform mechanical design of the most appropriate shell-and-tube heat exchanger to meet desired duty and pressure drops.

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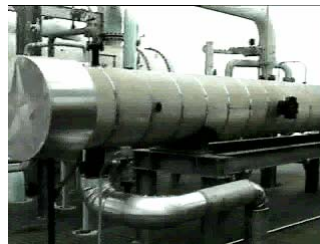




## Shell-and-Tube Heat Exchangers



These movies demonstrate the flow of process fluids in a typical shell-and-tube heat exchanger set-up.



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## Shell-and-Tube Heat Exchangers

The following heuristic (from Seider et al, 2004) is useful to assist in selecting which process fluid should be designed to pass through the tubes, and which should pass through the shell:

### Heuristic 55:

The tube side is for corrosive, fouling, scaling, hazardous, high temperature, high-pressure, and more expensive fluids.

The shell side is for more viscous, cleaner, lower flow-rate, evaporating and condensing fluids.

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### Quiz: Identify the following...

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1-1 Fixed Head  
 1-2 U-tube  
 1-2 Fixed Head  
 2-4 Floating Head  
 3-6 Fixed Head

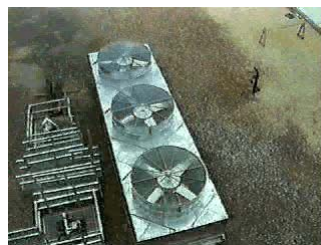
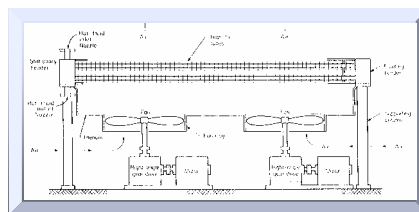
TEMA <http://www.tema.org>

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### Fin-fan Heat Exchangers

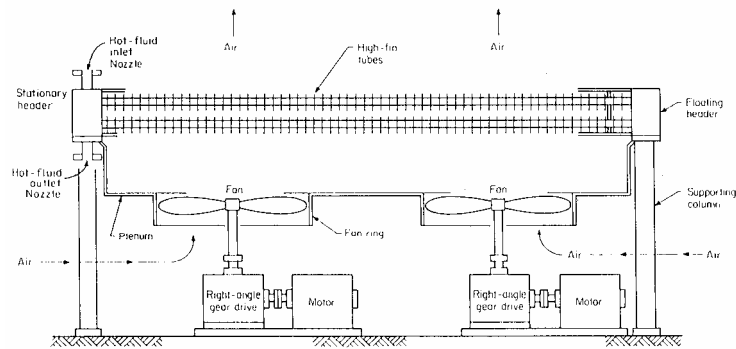
In fin-fan heat exchangers, air is forced in cross-flow across tubes carrying process fluid.



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## Fin-fan Heat Exchangers



**Design issues:** (a) Use Heuristic 56 for initial design; (b) Design the tube-banks similarly to a shell-and-tube heat exchanger.

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## Fin-fan Heat Exchangers

### Heuristic 56:

For an air-cooled exchanger, the tubes are typically  $\frac{3}{4}$ -1" in outside diameter. The ratio of fin surface area to tube outside bare area is 15-20. Fan power requirement is the range 2-5 Hp per  $10^6$  Btu/hr, or 20 Hp per 1,000  $\text{ft}^2$  of tube outside surface (fin-free) area. Minimum approach temperature is about 50 °F (much higher than water-cooled exchangers). Without the fins, the overall heat transfer coefficients would be about 10 Btu/hr  $\text{ft}^2$  °F. With the fins,  $U = 80$ -100 Btu/hr  $\text{ft}^2$  °F, based on tube outside, bare surface area.

See also: Ludwig, Vol. 3.



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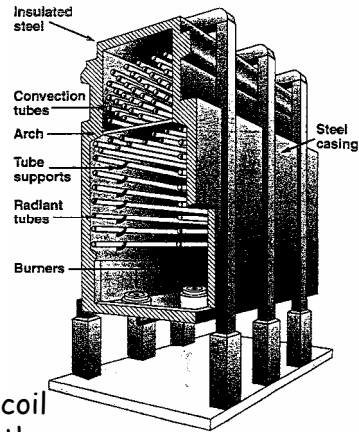
## Furnaces - Typical Applications

Example of uses of process furnaces:

- ⊙ Steam boilers
- ⊙ Distillation column reboiler
- ⊙ Heating distillation column feed stream
- ⊙ Heating reactor feed stream
- ⊙ Heating a heating stream
- ⊙ Reactor (reaction inside furnace coil)

Operation principles:

Process stream flows through a coil heated by combustion of fuel in the furnace chamber.

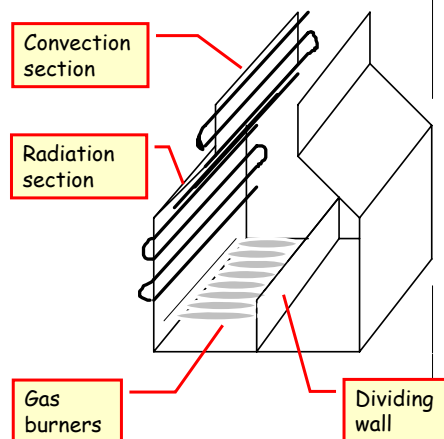


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## Typical Furnace Coil Arrangements

- ⊙ Vertical tube bank along the walls of a circular furnace
- ⊙ As above, with the addition of horizontal tube bank in a convection section
- ⊙ A single horizontal tube bank in the center of the furnace, with burners along each side wall
- ⊙ Parallel horizontal tube banks along each wall, with a central wall.



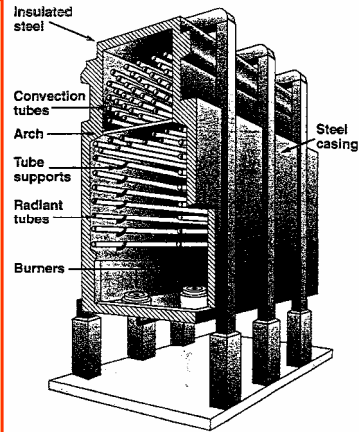
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## Furnaces - Getting Started

### Heuristic 57:

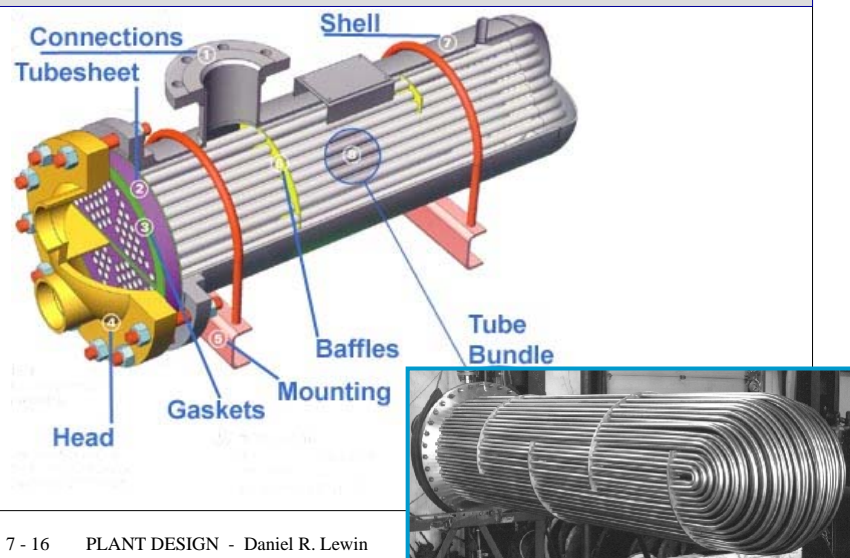
Typical fluxes in fired heaters are 12,000 Btu/hr-ft<sup>2</sup> in the radiation section and 4,000 Btu/hr-ft<sup>2</sup> in the convection section, with approximately equal duties in the two sections. Typical process liquid velocity in the tubes is 6 ft/s. Thermal efficiencies for modern fired furnaces is 80-90%.



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## Shell-and-Tube Heat Exchangers



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## Temperature-Driving Forces

The rate of heat transfer in an shell-and-tube exchanger is computed as:

$$Q = m_c \cdot (H_{c,out} - H_{c,in}) = m_h \cdot (H_{h,out} - H_{h,in})$$

Assuming (1) steady-state; (2) counter- or co-current flow; (3) constant overall heat transfer coefficient; (4) no phase changes on either side; and (5) negligible heat losses:

$$Q = U \cdot A \cdot \Delta T_{LM}$$

$$\text{where: } \Delta T_{LM} = \frac{(T_{h,in} - T_{c,out}) - (T_{h,out} - T_{c,in})}{\ln \left( \frac{T_{h,in} - T_{c,out}}{T_{h,out} - T_{c,in}} \right)}$$

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## Temperature-Driving Forces


For multiple-pass shell-and-tube exchangers, the flow directions of the two fluids are combinations of countercurrent and co-current flow, reducing the effective value of  $\Delta T_{LM}$ . For a **1-2 exchanger**, with assumptions 1, 3, 4 and 5:

$F_T$  for 1-2

$$Q = U \cdot A \cdot \Delta T_{LM} \cdot F_T$$

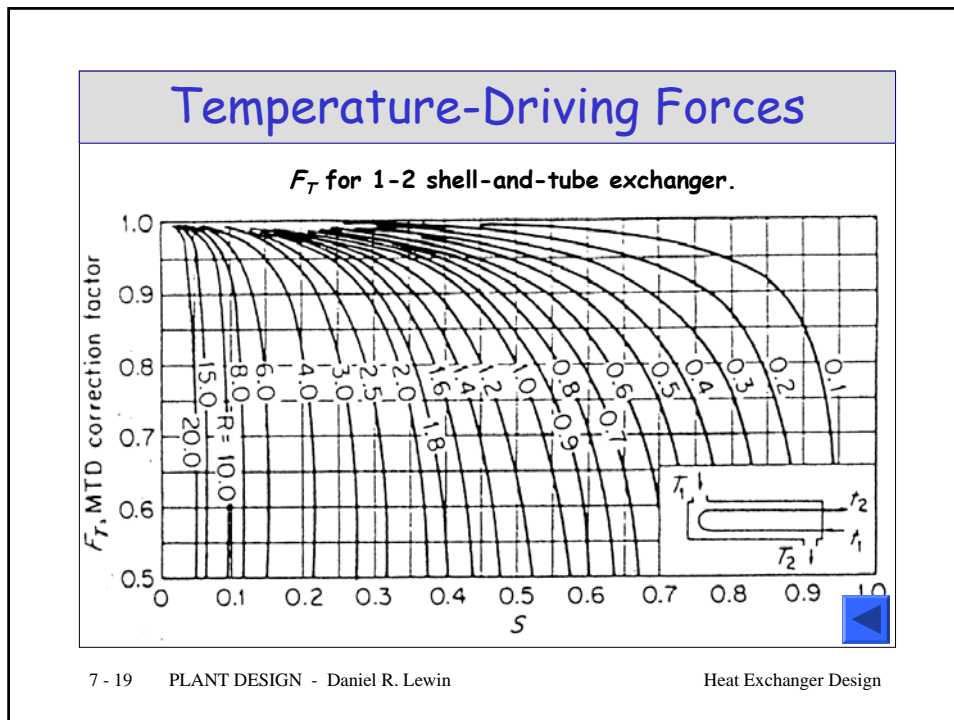
$$\text{where: } F_T = \frac{\ln[(1-S)/(1-RS)] \sqrt{R^2 + 1}}{(R-1) \ln \left[ \frac{2-S(R+1-\sqrt{R^2+1})}{2-S(R+1+\sqrt{R^2+1})} \right]}$$

$$R = \frac{T_{h,in} - T_{h,out}}{T_{c,out} - T_{c,in}}, \text{ and } S = \frac{T_{c,out} - T_{c,in}}{T_{h,in} - T_{c,in}}$$

It is desirable to have a value of  $F_T$  of 0.85 or higher. Values below 0.75 are unacceptable.  $F_T = 1$  for phase change in duty fluid. When  $F_T < 0.75$ , increase the shell passes. 

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### Example 13.5

A hot stream is cooled from 200 to 140 °F by a cold stream entering at 100 °F and exiting at 190 °F. Determine the true  $\Delta T_{LM}$  and select the appropriate shell-and-tube configuration.

**Solution:**

For counter-current flow:  $\Delta T_{LM} = \frac{40 - 10}{\ln\left(\frac{40}{10}\right)} = 21.6 \text{ °F}$

For multiple-pass exchangers:

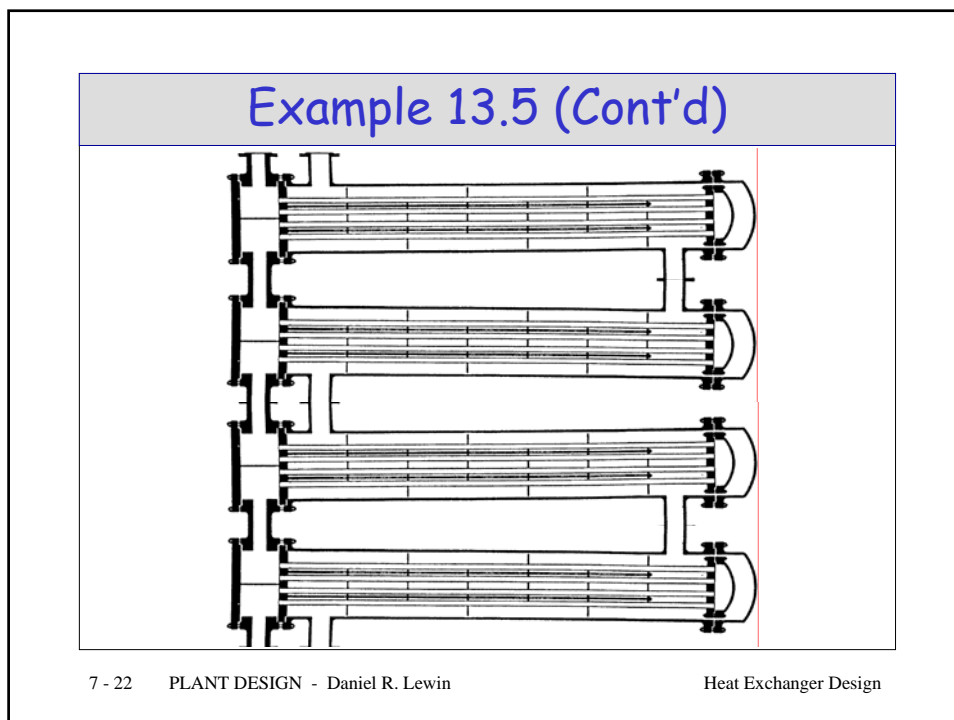
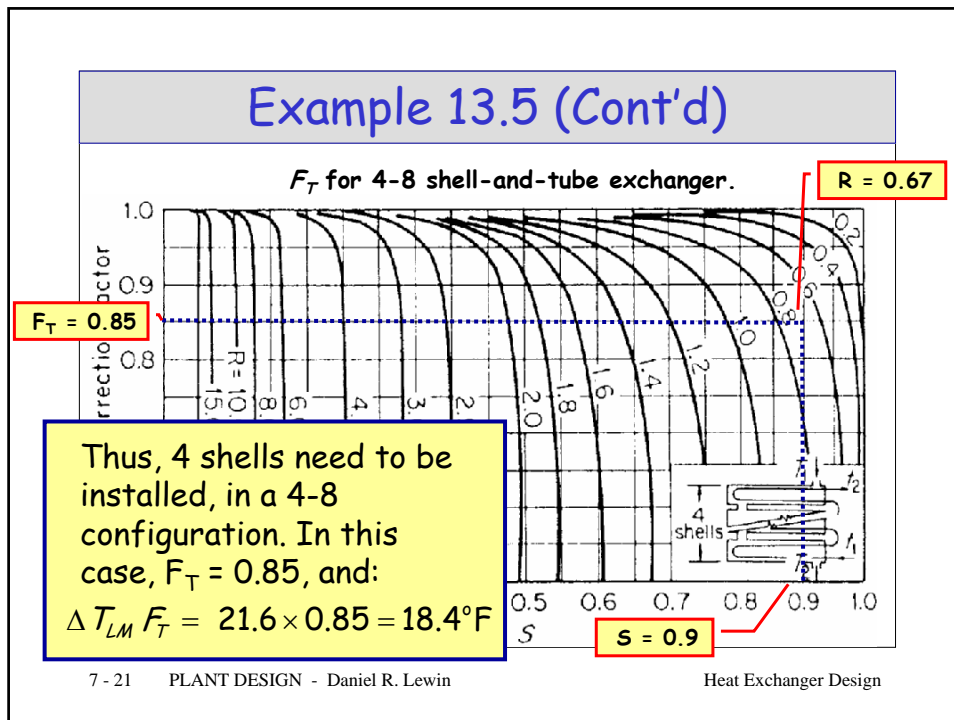
$$R = \frac{T_{h,in} - T_{h,out}}{T_{c,out} - T_{c,in}} = \frac{200 - 140}{190 - 100} = 0.667$$

$$S = \frac{T_{c,out} - T_{c,in}}{T_{h,in} - T_{c,in}} = \frac{190 - 100}{200 - 100} = 0.9$$

**$F_T$  for 1-2**

**$F_T$  for 4-8**

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## Class Exercise 1

A hot stream is cooled from 1,150 to 560 °F by a cold stream entering at 400 °F and exiting at 1,000 °F. Determine the true  $\Delta T_{LM}$  and select the appropriate shell-and-tube configuration.

**Solution:**

For counter-current flow:  $\Delta T_{LM} =$

For multiple-pass exchangers:

$$R = \frac{T_{h,in} - T_{h,out}}{T_{c,out} - T_{c,in}} =$$

$$S = \frac{T_{c,out} - T_{c,in}}{T_{h,in} - T_{c,in}} =$$

Configuration	$F_T$

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## Heat Transfer Coefficients

Sieder-Tate (1936) equations:

(a) for tube-side, dimensionless heat transfer coefficient:

$$J_H = \frac{h_T D_T}{k} \left( \frac{C_p \mu}{k} \right)^{-1/3} \left( \frac{\mu}{\mu_W} \right)^{-0.14} \quad \text{vs.} \quad \left( \frac{D_T G_T}{\mu} \right) \quad \rightarrow$$

$$\text{Tube-side mass flux: } G_T = \frac{W_T}{A_T}, A_T = \frac{\pi D_T^2}{4}$$

(b) for shell-side, dimensionless heat transfer coefficient:

$$J_H = \frac{h_o D_o}{k} \left( \frac{C_p \mu}{k} \right)^{-1/3} \left( \frac{\mu}{\mu_W} \right)^{-0.14} \quad \text{vs.} \quad \left( \frac{d_e G_s}{\mu} \right) \quad \rightarrow$$

$$\text{Shell-side mass flux: } G_s = \frac{W_s}{A_s}, A_s = \frac{d_s C' B}{144 P_T}$$

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### Shell-side Equivalent Diameter

(a) - Square pitch

(b) - Triangular pitch

(c) - Square pitch rotated

$P_T$  - tube pitch (in)  
 $C'$  - tube clearance (in)

$$d_e = \frac{4(\text{wetted area})}{\text{wetted perimeter}}$$

□ pitch:  $d_e = \frac{4P_T^2 - \pi D_o^2}{\pi D_o}$       Δ pitch:  $d_e = \frac{\sqrt{12}P_T^2 - \pi D_o^2}{\pi D_o}$

Shell-side mass flux:  $G_s = \frac{W_s}{A_s}$ ,  $A_s = \frac{d_s C' B}{144 P_T}$

$W_s$  - total shell side mass flow (lb/hr),  $A_s$  - shell crossflow area (ft<sup>2</sup>)  
 $d_s$  - shell diameter (in),  $B$  - baffle spacing (in)

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### Heat Transfer Coefficients

$$U_{dirty} = \frac{1}{R_{F,O} + \left(\frac{1}{h_o}\right) + \left(\frac{t_w A_o}{h_w A_m}\right) + \left(\frac{A_o}{h_i A_i}\right) + R_{F,I} \left(\frac{A_o}{A_i}\right)}$$

Outside fouling

External film resistance

Wall resistance

Internal film resistance

Internal fouling

$$A_o = \pi D_o L \quad A_i = \pi D_i L \quad A_m = \frac{\pi L (D_o - D_i)}{\log(D_o/D_i)}$$

$U_{dirty} = \frac{1}{R_{F,O} + \left(\frac{1}{h_o}\right) + \left(\frac{D_o}{h_i D_i}\right) + R_{F,I} \left(\frac{D_o}{D_i}\right)}$ 
 $U_{clean} = \frac{1}{\left(\frac{1}{h_o}\right) + \left(\frac{D_o}{h_i D_i}\right)}$

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## Tube Pressure Drop

Pressure drop of the fluid flowing in the tube-side of a heat exchanger is given by the Darcy formula:

$$\Delta P_t = \frac{f G_T^2 L N_T}{5.22 \times 10^{10} D_T S (\mu/\mu_w)^{0.14}} \text{ [psi]} \quad \img alt="blue play button icon" data-bbox="688 235 720 258"/>$$

$f$  = friction factor [ $\text{ft}^2/\text{in}^2$ ],  $G_T$  = tube mass velocity [ $\text{lb}/\text{ft}^2 \text{ hr}$ ],  
 $L$  = tube length [ $\text{ft}$ ],  $N_T$  = total number of tube passes,  
 $S$  = specific gravity,  $D_T$  = tube I.D. [ $\text{ft}$ ].

In addition, the repeated changes in direction caused by the numerous passes in the tubes adds additional pressure loss, called the "return loss":

$$\Delta P_r = \frac{4 N_T v_T^2}{2g} \text{ [psi]}$$

The total pressure drop is:  $\Delta P = \Delta P_t + \Delta P_r$  [psi]

## Shell Pressure Drop

Pressure drop of the fluid flowing on the shell side of a heat exchanger is given by the Darcy formula:

$$\Delta P_s = \frac{f G_s^2 D_s (N_b + 1)}{5.22 \times 10^{10} D_e S (\mu/\mu_w)^{0.14}} \text{ [psi]} \quad \img alt="blue play button icon" data-bbox="688 657 720 680"/>$$

$f$  – friction factor [ $\text{ft}^2/\text{in}^2$ ]

$G_s$  – mass velocity in shell [ $\text{lb}/\text{ft}^2 \text{ hr}$ ]

$D_s$  – I.D. of shell [ $\text{ft}$ ]

$N_b$  – number of baffles

$D_e$  – equivalent diameter [ $\text{ft}$ ]

$S$  – specific gravity

## Main Steps Involved

**Objective:** To design a shell-and-tube exchanger to perform heat transfer from a hot stream to a cold stream.

**Specifications:** Given stream physical properties, mass flow rate, process stream source and target temperatures, and the mass flow rate and source temperature of the duty stream.

The mechanical design of a shell-and-tube heat exchanger involves two main steps:

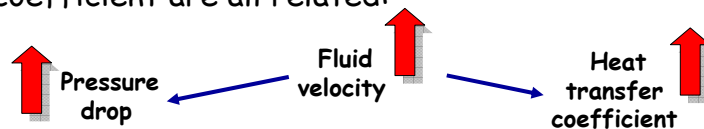
- ❶ Computation of the heat duty
- ❷ Shell and tube configuration

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## Iterative Design Procedure

- ❑ It is of interest to reduce the heat transfer surface area to a minimum, since this will lead to the cheapest design.
- ❑ Must satisfy the pressure drop specification (usually pre-defined), which affects the overall heat transfer coefficient.
- ❑ An iterative design is called for since fluid velocity, pressure drop and heat transfer coefficient are all related:



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## Iterative Design Procedure

Computation of shell-and-tube exchangers involves iteration, since the heat transfer coefficients, pressure drops and heat transfer area all depend on the design's geometric configuration, which needs to be determined.

The geometric configuration (to be determined) includes the following:

- ① Shell diameter
- ② Tube diameter
- ③ Tube length
- ④ Tube packing configuration (pitch) and spacing
- ⑤ Number of tube and shell passes

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## Class Exercise 2

Design a shell-and-tube heat exchanger to preheat a stream of 30 T/hr containing ethylbenzene and styrene from 10 to 97 °C.

Additional data:

Density -  $856 \text{ kg}\cdot\text{m}^{-3}$ , Viscosity - 0.4765 cP,  
 Specific heat -  $0.428 \text{ kcal}\cdot\text{kg}^{-1}\cdot\text{°C}^{-1}$ ,  
 Thermal conductivity -  $0.133 \text{ kcal}\cdot\text{hr}^{-1}\cdot\text{m}^{-1}\cdot\text{°C}^{-1}$   
 Heat supply medium - Saturated steam at 10 barg.

Notes: (a) For this application, the process fluid is fed to the tubes.

(b) Maximum  $\Delta P$  in the process side is 0.8 bar.

(c) Fouling - process: 0.0002, steam: 0.0001 hr m<sup>2</sup>/kcal

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## Class Exercise 2 - Solution

### A. Stream Data

Parameter	Units	Cold side	Hot Side	Notes
		Tube side	Shell side	
Fluid		EB/Styrene	Sat. steam	$Q = m_{\text{tube}} \cdot C_{p_{\text{tube}}} \cdot \Delta T$ $= 30,000 \times 0.428 \times (97-10)$ $= 1,117,080 \text{ kcal/hr}$ $m_{\text{steam}} = Q/\lambda$ $\lambda = 528.7 \text{ kcal/kg}$
Mass Flow	kg/hr	30,000	2,113	
Inlet Temp	°C	10	115	
Outlet Temp.	°C	97	115	
Density	kg/m <sup>3</sup>	856	0.9712	
Viscosity	cP	0.4765	0.1262	
Cp	Kcal/kg °C	0.428		
K	Kcal/hr cm	0.133		
Fouling factor	hr m <sup>2</sup> /kcal	0.0002	0.0001	

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## Class Exercise 2 - Solution

### B. LMTD Calculation : T- Shell † - tube

Variable	Units	Value	Notes
$\Delta T_1 = T_i - t_o$	°C	18	
$\Delta T_2 = T_o - t_i$	°C	105	
LMTD	°C	49.33	$LMTD = \frac{\Delta T_2 - \Delta T_1}{\ln(\Delta T_2/\Delta T_1)} = \frac{105 - 18}{\ln(105/18)} = 49.33$
c-LMTD	°C	49.33	$c\text{-LMTD} = \Delta T_{LM} \times F_T$ $F_T = 1$ (phase change)

### C. Heat Duty

Q	Kcal/hr	1.117×10 <sup>6</sup>	See previous table
U <sub>Estimated</sub>	kcal/(hr °C m <sup>2</sup> )	490-980	Item 5: For light organics, U = 100-200 BTU/(hr °F ft <sup>2</sup> ).
A <sub>Estimated</sub>	m <sup>2</sup>	23-46	A = Q/(U <sub>Estimated</sub> ×c-LMTD)

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## Class Exercise 2 - Solution

### D. Heat Exchanger Configuration


Variable	Units	Value	Notes
Tube passes, $N_T$		4	Assumed
Shell passes, $N_S$		1	Assumed
Tubing O.D., $D_O$	m	0.0254	Taking 1" (I.D.) 12 BWG tubing as basis. Thus $D_T = 0.782$ "
Tubing I.D., $D_T$	m	0.0198	
Tube velocity, $V_T$	m/sec	1.4	Allowed range: 1.2-3 m/sec 1.4 m/sec = 4.59 ft/sec
Tube c-section (I.D.), $A_T$	m <sup>2</sup>	$3.079 \times 10^{-4}$	$A_T = (\pi D_T^2)/4$
$q_T$ in each tube	m <sup>3</sup> /hr	1.55	$q_T = A_T \times V_T = 4.31 \times 10^{-4}$ m <sup>3</sup> /sec
No. tubes per pass, N		23	$N = m / (q_T \times \rho)$ $= 30,000 / (1.55 \times 856)$
Total no. tubes, $N_{Total}$		92	$N \times N_T / N_S$
Tube length, L	m	6	Accepted industry standard

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## Class Exercise 2 - Solution

### D. Heat Exchanger Configuration (Cont'd)

Variable	Units	Value	Notes
Heat exchanger area, A	m <sup>2</sup>	44	$A = N_S \times N_{Total} \times L \times \pi \times D_O = 44$ m <sup>2</sup>
Pitch		$\Delta-1\frac{1}{4}$ "	$\Delta$ -pitch selected (why?) 
Shell I.D., $d_s$	m	0.4382	$17\frac{1}{4}$ " shell holds 106 tubes.
$A_{Available}$	m <sup>2</sup>	51	$A = N_S \times 106 \times L \times \pi \times D_O = 51$ m <sup>2</sup>

Note that the available heat transfer area, 51 m<sup>2</sup>, is larger than the value estimated previously, 23-46 m<sup>2</sup>, so can be reduced!

We shall now compute the heat transfer coefficient and the pressure drops in the tube and shell, and compare with our targets.

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## Class Exercise 2 - Solution

### E. Heat Transfer Coefficient Calculations

#### 1.1 Tube-side heat exchange.

Tube c-section (I.D.), $A_T$	$m^2$	$3.08 \times 10^{-4}$	$A_T = (\pi D_I^2)/4$
c-section area/pass, $A_T'$	$m^2$	$8.21 \times 10^{-4}$	$A_T' = A_T \times (106/4)$
$G_T$	$Kg/(hr m^2)$	3,653,462	$G_T = m_{tube}/A_T'$
$Re_T$		42,304	$Re = G_T \times D_I / \mu$
$J_H$		130	<a href="#">See Item 10</a>
Pr		5.52	$Pr = Cp \times \mu / K$
$\Phi = (\mu/\mu_W)^{-0.14}$		1	Assume $\mu = \mu_W$
$J_H = (h_I D_I / K) \cdot Pr^{-1/3} (\mu/\mu_W)^{-0.14} \Rightarrow h_I = 1,538 \text{ Kcal}/(hr m^2 \text{ } ^\circ C)$			
$h_I$	$Kcal/(hr m^2 \text{ } ^\circ C)$	1,538	
<b>1.2 Shell-side heat exchange.</b>			
$h_o$	$Kcal/(hr m^2 \text{ } ^\circ C)$	7,342	For steam, use accepted value: 1,500 Btu/(hr ft <sup>2</sup> °F)

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## Class Exercise 2 - Solution

### E. Heat Transfer Coefficient Calculations (Cont'd)

#### 1.3 Overall heat transfer coefficients.

$U_{Clean}$	$Kcal/(hr m^2 \text{ } ^\circ C)$	1,033	
$U_{Dirty}$	$Kcal/(hr m^2 \text{ } ^\circ C)$	756	
$U_{Service}$	$Kcal/(hr m^2 \text{ } ^\circ C)$	444	$U_{Service} = Q/(A_{Available} \times C-LMTD)$
$U_{Estimated}$	$Kcal/(hr m^2 \text{ } ^\circ C)$	490-980	

$$U_{clean} = \frac{1}{\left(\frac{1}{h_o}\right) + \left(\frac{D_o}{h_i D_i}\right)}$$

$$U_{dirty} = \frac{1}{R_{F,o} + \left(\frac{1}{h_o}\right) + \left(\frac{D_o}{h_i D_i}\right) + R_{F,i} \left(\frac{D_o}{D_i}\right)}$$

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## Class Exercise 2 - Solution

### F. Pressure Drop Calculations

#### 2.1 Tube-side pressure drop.

$Re_T$		42,304	$Re = G_T \times D_I / \mu$
$f$	ft <sup>2</sup> /in <sup>2</sup>	0.000185	<a href="#">See Item 11</a>
$\Delta P_t$ , friction	psi	2.80	
$\Delta P_r$ , return	psi	5.30	$\Delta P_r = 4N_T V_t^2 / 2g$ $= 4 \times 4 \times 4.59^2 / 2 \times g$ $= 5.3 \text{ psi}$
$\Delta P$	bar	0.55	$\Delta P_{TOT} = \Delta P_t + \Delta P_r = 8.1 \text{ psi}$

## Class Exercise 2 - Solution

### F. Pressure Drop Calculations (Cont'd)

#### 2.2 Shell-side pressure drop.

$B$	in	17.25	Assume baffle spacing = shell I.D.
$N_B + 1$		14	$N_B = \text{no. of baffles} = L/B - 1 = 12.7$
$d_e$	in	0.72	<a href="#">Computed</a> as $d_e = 0.72''$
$C'$	in	0.25	$C' = P_T - D_O$
$A_S$	ft <sup>2</sup>	0.413	$A_S = d_S C' B / (P_T \times 144)$
$G_S$	Kg/(hr m <sup>2</sup> )	55,030	$G_S = m_{\text{steam}} / A_S$
$Re_s$		22,152	$Re = G_S \times d_e / \mu_s$
$f$	ft <sup>2</sup> /in <sup>2</sup>	0.0015	<a href="#">See Item 13:</a>
$\Delta P_S$	bar	0.08	$\Delta P_S = 1.16 \text{ psi}$

Note that the shell  $\Delta P$  is usually much lower than the tube value.

## Class Exercise 2 - Solution

### F. Summary of Step 1.

Variable	Units	Target	Actual
U	Kcal/(hr m <sup>2</sup> °C)	490-970	444-1,033
A	m <sup>2</sup>	23-46	51
$\Delta P_{\text{tubes}}$	bar	0.8	0.55

The heat transfer surface is larger than necessary. In contrast, the pressure drop is much lower than its permitted value. Possible next steps include:

- ① Increase/decrease the shell diameter
- ② Increase/decrease the number of tubes
- ③ Increase/decrease the number of passes
- ④ Increase/decrease tube diameter

## Summary

After reviewing the materials in this lecture, you should:

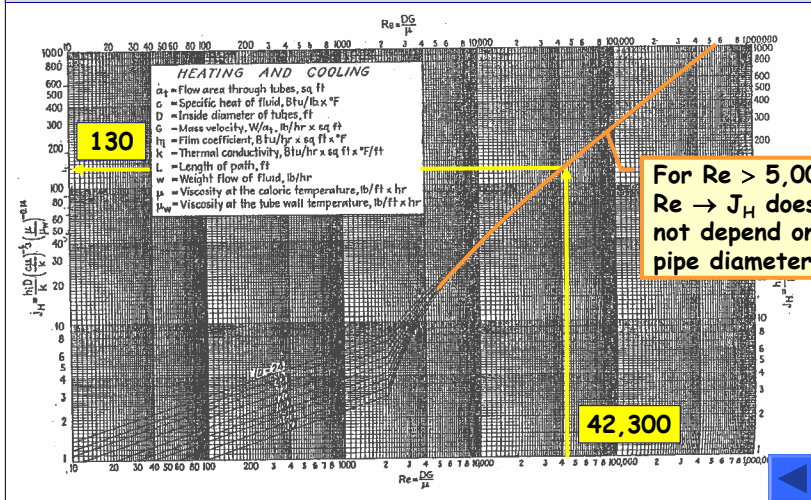
- ① Be familiar with the major types of available heat-exchange equipment, with particular emphasis on shell-and-tube heat exchangers.
- ② Know how to estimate overall heat transfer coefficients, including the effect of fouling.
- ③ Be able to perform mechanical design of the most appropriate shell-and-tube heat exchanger to meet desired duty and pressure drops.

## Standard Tube-sheet Layouts

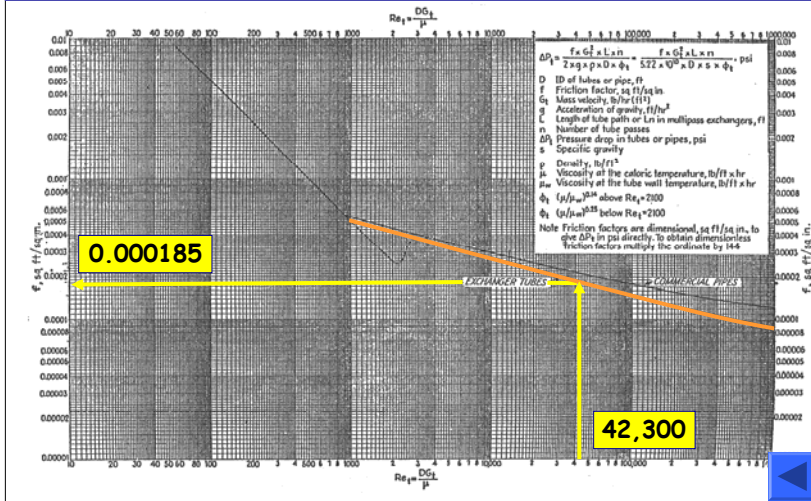
### Item 4. Standard Tube Sheet Layouts (Kern, 1950, pp. 841-842)

Shell I.D. (in)	One-Pass		Two-Pass		Four-Pass		Six-Pass	
	□ Pitch	△ Pitch	□ Pitch	△ Pitch	□ Pitch	△ Pitch	□ Pitch	△ Pitch
1-in O.D. Tubes on 1¼-in Pitch								
8	21	21	16	16	14	16		14
12	48	55	45	52	40	48	38	46
15¼	81	91	76	86	68	80	68	74
17¼	112	131	112	118	96	106	90	104
19¼	138	163	132	152	128	140	122	136
21¼	177	199	166	188	158	170	152	164
25	260	294	252	282	238	256	226	252
31	406	472	394	454	380	430	368	424
37	596	674	574	664	562	632	544	614

## Item 10. Tube-side Heat Transfer



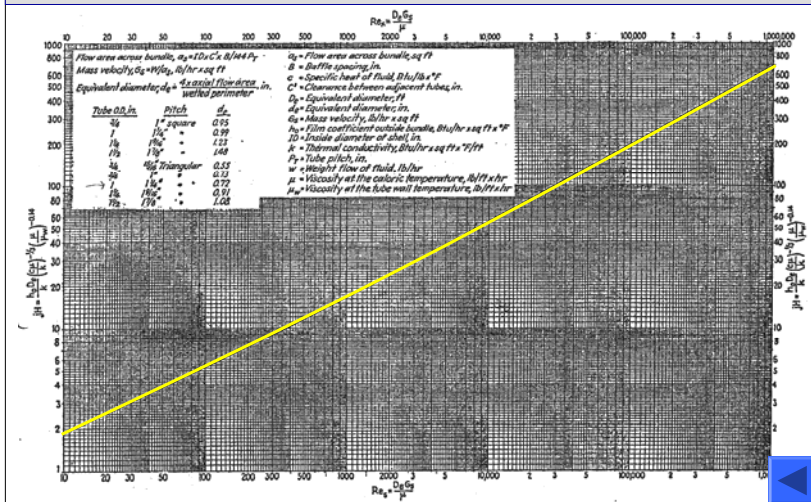
### Item 11. Tube-side Friction Factor



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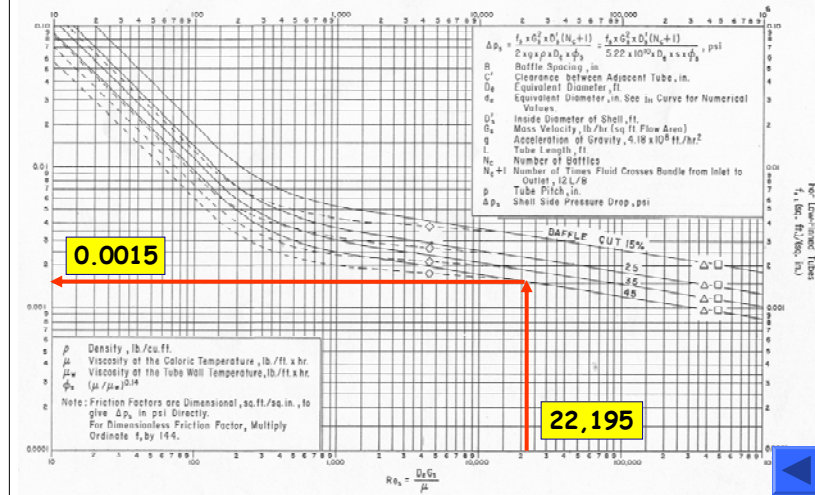
### Item 12. Shell-side Heat Transfer



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### Item 13. Shell-side Friction Factor



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